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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Douglas Duane Docket: 13020 (BU9-99-190)

Coolbaugh, et al.

Serial No.: Unassigned

Dated:

. Filed: Herewith

For: METHOD OF FABRICATING A POLYSILICON

CAPACITOR UTILIZING FET AND BIPOLAR

BASE POLYSILICON LAYERS

Assistant Commissioner for Patents Washington, DC 20231

INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with 37 C.F.R. §§1.56, 1.97 and 1.98, it is respectfully requested that the following references, which are also listed on the attached form PTO-1449, be made of record in the above-identified patent application.

- 1. U.S. Patent No. 5,195,017, issued March 16, 1993 to McDonald.
- 2. U.S. Patent No. 5,286,991, issued February 15, 1994 to Hui et al.

CERTIFICATE OF MAILING BY "EXPRESS MAIL" "Express Mail" mailing label number. EE344993847US Date of Deposit:
I hereby certify that this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on the date indicated above and is addressed to: Assistant Commissioner for Patents, Washington, DC 20231.
Dated:

- 3. U.S. Patent No. 4,805,071, issue February 14, 1989 to Hutter et al.
- 4. U.S. Patent No. 5,047,826, issued September 10, 1991 to Keller et al.
- 5. U.S. Patent No. 5,268,315, issued December 7, 1993 to Prasad et al.
- 6. U.S. Patent No. 5,317,180, issued May 31, 1994 to Hutter et al.
- 7. U.S. Patent No. 5,391,195, issued February 21, 1995 to Yamazaki.
- 8. U.S. Patent No. 5,407,841, issued April 18, 1995 to Liao et al.
- 9. U.S. Patent No. 5,622,887, issued April 22, 1997 to Miwa et al.
- 10. U.S. Patent No. 5,641,692, issued June 24, 1997 to Miwa et al.
- 11. U.S. Patent No. 5,747,369, issued May 5, 1998 to Kantimahanti et al.

A copy of each of the cited references is enclosed herein. All of the references listed on Form PTO-1449 are in the English language; thus a concise explanation of the cited references required by 37 C.F.R. §1.98(a)(3) is not necessary.

Statement is respectfully requested, since the information provided herewith may be material to the examination of the present application as defined under 37 C.F.R. §1.56. This statement is not intended to represent that a search has been performed or that no other art than that identified herein exists.

The instant Information Disclosure Statement is being submitted concurrent with the filing of the present application. Therefore, this filing is made under 37 C.F.R. § 1.97(b)(1). An Information Disclosure Statement filed under 37 C.F.R. §1.97(b)(1) requires neither certification nor fee.

Respectfully submitted,

Leslie S. Szivos

Registration No. 39,394

SCULLY, SCOTT, MURPHY & PRESSER 400 Garden City Plaza Garden City, New York 11530 (516) 742-4343

LSS:gc